

KENANGA INVESTMENT BANK BERHAD (15678-H)

E-TRADE ACCOUNT APPLICATION FORM

NAME : _____
NRIC No. : _____(new)
_____(old)
EXISTING
TRADING
ACCOUNT No.: _____ CDS _____
LIMIT : RM _____
DEPOSIT
CASH : RM _____
SHARES : RM _____
Details per list attached

Dealer's Representative:

Name:

Name:

FOR OFFICE USE ONLY

LIMIT : RM _____ SENT BY: _____

APPROVAL : _____

DATE : _____

E-TRADE ACCOUNT NO. _____

CDS NO. : _____ DATE
OPENED _____

**E-TRADE ACCOUNT
TERMS AND CONDITIONS**

1. Deposit will have to be placed by client. Deposit may be in the form of cash or shares. Shares must be pledged under Kenanga Nominees Tempatan Sdn Bhd Securities Account for Client Name (Etrade). Shares will be subject to acceptance and valuation.
2. Multiple of 1 time of the deposit pledged shall be given, subject to shares valuation.
3. A separate account shall be opened for these E-trade transactions.
4. A discount of 30% of the brokerage applicable shall be given for E-trade transactions, where trade orders are routed through the Company's Electronic Trading System, KenWealth or KenNet system.
5. The client further gives KIBB the absolute right to utilize any trust money or shares held by KIBB in favour of the Client to set-off any contra losses and any interest unpaid for fourteen (14) days or more from the date of realization.
6. Trading limit shall be calculated after deduction of contra losses and outstanding purchases from deposit placed.
7. Client shall not give trades orders in any other form (e.g. telephone orders) except via the Company's Electronic Trading System, KenWealth or KenNet. The terms and conditions for Electronic Trading Account as per the application for Electronic Trading that has been duly executed by client shall be applicable for the E-trade account. Failure to observe any of the terms and conditions will render account to be suspended.
8. The Management reserves the right to amend any of the above terms and conditions.

I agree to observe the above terms and conditions

Witness By:

Name:
NRIC No.:

Name:
NRIC No.:

Date:

Kenanga Investment Bank Berhad
8th Floor, Kenanga International
Jalan Sultan Ismail
50250 Kuala Lumpur

Dear Sir,

TRUST ACCOUNT NO.:
CASH DEPOSIT PLACED IN TRUST ACCOUNT

I hereby irrevocably authorise Kenanga Investment Bank Berhad (“KIBB”) to utilize any or all the balance held in my Trust Account No.: _____ to recover against any outstanding debit balances due and unpaid including but not limited to contra losses, purchases of counters which have been subsequently suspended and other charges due to the company.

In consideration of KIBB agreeing to extend a E-Trade Account at my request, I hereby irrevocably and unconditionally agree and authorise KIBB to utilize any or all of the balance as KIBB shall deem fit to recover any outstanding balance in the trading account(s), maintained with KIBB at its liberty and without prior notice or reference to me whatsoever. I further agree and acknowledge that KIBB shall exercise its right at its absolute discretion to utilize any or all the balances held in my trust account on any date or time the company deems fit without first having to commence legal proceeding against me and KIBB shall not be liable for any loss or damage howsoever arising from the utilization of the balances as provided above.

Thank you.

Yours faithfully,

Witness By:

Name:
NRIC No.:

Name:
NRIC No.:

Date:

Kenanga Investment Bank Berhad
8th Floor, Kenanga International
Jalan Sultan Ismail
50250 Kuala Lumpur

Dear Sir,

**CDS ACCOUNT NO:
AUTHORISATION TO SELL SHARES IN CDS ACCOUNT**

I hereby irrevocably authorise Kenanga Investment Bank Berhad (“**KIBB**”) to sell any or all the shares (“**shares**”) deposited in my CDS account no:
073-001- _____ to recover against any outstanding debit balances due and unpaid including but not limited to contra losses, purchases of counters which have been subsequently suspended and other charges due to the company.

In consideration of KIBB agreeing to extend a E-Trade Account at my request, I hereby irrevocably and unconditionally agree and authorise KIBB to sell all or any of the Shares as KIBB shall deem fit to recover any outstanding balance in the trading account(s), maintained with KIBB at its liberty and without prior notice or reference to me whatsoever and I agree that KIBB shall not be under any legal obligation to ensure that the shares are sold at the best or highest price and I will accept the prices at which the shares are sold. I further agree and acknowledge that KIBB shall exercise its right at its absolute discretion to sell any or all the Shares on any date or time the company deems fit without first having to commence legal proceeding against me and KIBB shall not be liable for any loss or damage howsoever arising from the sale of the shares as provided above.

Thank you.

Yours faithfully,

Witness By:

Name:
NRIC No.:

Name:
NRIC No.: