

SECURITIES PORTFOLIO ACCESS

AUTHORISATION FOR BALANCE ENQUIRY REQUEST

Client Name: _____

New NRIC No: _____ Old NRIC/Passport No: _____

Name of Dealer's Representative: _____

New NRIC No: _____ Old NRIC/Passport No: _____

Please cross (X) whichever is applicable

() CDS Account No: _____

Or

() *All prevailing CDS accounts opened as at the date of this authorisation and any future CDS account to be opened

Or

() *As per the attached list of CDS accounts

* Only applicable for corporate depositor using a single form for more than 1 (one) CDS account.

The securities portfolio is a portfolio that attempts to reflect as closely as possible, the Client's outstanding securities holdings/balance(s) using the Necessary Data (defined hereafter). The securities portfolio shall be constructed and maintained by KIBB only upon the Client's request and made available to the Client through any website authorized or designated by KIBB from time to time. ("Securities Portfolio")

For the Client's Attention :

PLEASE READ THE FOLLOWING CAREFULLY BEFORE GRANTING ACCESS TO YOUR SECURITIES PORTFOLIO.

The Client has requested for access to the Client's Securities Portfolio. Please note that the Client's access to, reliance on and usage of the Securities Portfolio shall be governed by the Terms and Conditions as set out and posted on any website authorized or designated by KIBB from time to time for the use of the Securities Portfolio. ("Terms & Conditions"). Please read and understand the Terms & Conditions carefully before accepting them. The Client continued access, review or use of the Client's Securities Portfolio shall be deemed as the Client's acceptance of the Terms & Conditions. Access to the Client's Securities Portfolio is strictly subject to the Client's continued acceptance of the Terms & Conditions. The Client should not attempt to gain access to the Client's Securities Portfolio if the Client does not agree to the Terms & Conditions.

The Client confirms that he/it has read the above and further confirm as follows:-

1. The Client hereby request Kenanga Investment Bank Berhad ("KIBB") to grant access/make available to the Client the Securities Portfolio to the Client and the aforementioned Dealer's Representative, The Client also authorises KIBB and its authorised officers to access, obtain and make enquiries of the Necessary Data to construct and maintain the Client's Securities Portfolio. Necessary Data shall include but not limited to the Client's CDS opening and current balance from time to time, the Client's trading transactions, details of share transfers in and out of the Client's CDS account, changes in share balances in the Client's CDS account as a result of corporate actions and the Client's trading account(s) balances maintained with KIBB.

2. The Client's Securities Portfolio shall be constructed and maintained using Necessary Data. The Client understands and accepts that KIBB is not responsible for the accuracy or validity of the same and the Client shall not hold KIBB responsible in any manner whatsoever for the accuracy or validity of the Necessary Data or any information in the Securities Portfolio.
3. The Client also authorises the abovenamed Dealer's Representative to make balance enquiries of the Client's abovestated account and any online Securities Portfolio or Securities Portfolio report generated by or through KIBB on its online internet trading platform/ any website authorized or designated by KIBB from time to time.
4. The authority hereby given shall take effect from the date stipulated below and shall remain in-force unless revoked by the Client by a notice in writing or revoked automatically by virtue of the Dealer's Representative no longer acting on the Client's behalf for whatsoever reason including where the Dealer's Representative resigns from KIBB or is no longer validly licensed or is no longer appointed by KIBB or the Client.
5. The Client releases KIBB from all liabilities of whatsoever nature arising from this authorisation including, but not limited to, any liabilities arising from KIBB granting the Client access to the Client's Securities Portfolio and the Client undertakes to indemnify KIBB from all losses, damages, claims and demands arising from any acts or omissions of the abovenamed Dealer's Representative.
6. This authorisation shall not in any way allow or permit the abovenamed Dealer's Representative to deal in any way howsoever with the securities contained in the Client's CDS account(s) without the Client's prior consent.
7. The Client further accepts and confirms that the Client is aware that the information (including without limitation links to other websites and services, information, material and content of third parties) contained in the Securities Portfolio are made available and provided to the Client on an "as is" and "as available" basis without any warranty and/or representation of any kind whatsoever. The Client is aware of the risks associated with usage of or reliance on the information in and contents of the Securities Portfolio and the Client understands and accepts that any Securities Portfolio details may not be as accurate or reliable as the actual CDS account balance enquiry report. As such access and use of the Securities Portfolio is at the Client's sole risk and the Client will be solely responsible for the independent evaluation and verification of the fitness for any purpose, accuracy, quality, adequacy, timeliness and/or completeness of the information and/or content in the Securities Portfolio prior to conducting any activities and making any decisions based on any such information and/or content, including decisions relating to financial and/or investment matters. The risk(s) and consequence(s) of failing to conduct such independent evaluation and verification shall be borne entirely by the Client. Therefore, the Client shall not hold KIBB liable for any losses of whatsoever nature that the Client may incur which may result whether directly or indirectly from the Client's reliance on the information in or contents of the Client's Securities Portfolio. The Client undertake to inform KIBB immediately should there be any discrepancies in the Client's Securities Portfolio.

THIS AUTHORITY SHALL TAKE EFFECT FROM _____

In witness of which the Client hereby affixes the Client's signature:-

 Signature of Client/Authorised Signatory(ies)

 Date

 Signature of Client/Authorised Signatory(ies)

 Date

**Delete whichever inapplicable

***Affixation of common seal or company rubber-stamp (where applicable)

Dealer's Representative Undertaking

1. I, the abovenamed Dealer's Representative hereby irrevocably and unconditionally accept and undertake to utilise any access granted by the abovenamed client ("My Client") to My Client's Securities Portfolio for the CDS accounts stated above in the best interests of My Client(s). I further accept and confirm that I am aware that the information (including without limitation links to other websites and services, information, material and content of third parties) contained in the Securities Portfolio are made available and provided to me on an "as is" and "as available" basis without any warranty and/or representation of any kind whatsoever. I am aware of the risks associated with usage of or reliance on the information in and contents of the Securities Portfolio and I understand and accept that any Securities Portfolio details may not be as accurate or reliable as the actual CDS account balance enquiry report. As such access and use of the Securities Portfolio is at my sole risk and I will be solely responsible for the independent evaluation and verification of the fitness for any purpose, accuracy, quality, adequacy, timeliness and/or completeness of the information and/or content in the Securities Portfolio prior to conducting any activities and making any decisions based on any such information and/or content, including decisions relating to financial and/or investment matters. The risk(s) and consequence(s) of failing to conduct such independent evaluation and verification shall be borne entirely by me. Therefore, I shall not hold KIBB liable for any losses of whatsoever nature that I or My Client may incur which may result whether directly or indirectly from my or My Client's reliance on the information in or contents of My Client's Securities Portfolio. I hereby undertake to inform KIBB immediately should there be any discrepancies in My Client's Securities Portfolio.
2. I hereby undertake to indemnify KIBB from all losses, damages, claims and demands arising from my and/or My Client's reliance on the information and/or the contents of My Client's Securities Portfolio howsoever caused.
3. I understand that My Client's authorisation for me to be granted access to My Client's Securities Portfolio shall not in any way allow or permit me to deal in any way howsoever with the securities contained in My Client's CDS account(s) without My Client's prior consent.
4. I understand and confirm that the authority given to me to access My Client's Securities Portfolio shall take effect from the date stipulated above and shall remain in-force until revoked by My Client by a notice in writing or revoked automatically by virtue of me no longer acting on My Client's behalf for whatsoever reason including my resignation from KIBB or am no longer validly licensed or am no longer appointed by KIBB or My Client.
5. I understand that the information in My Client's Securities Portfolio is confidential in nature and I undertake to uphold the confidentiality of the information and contents of My Client's Securities Portfolio at all times.

In acceptance of all the above, I hereby affix my signature:

Name:

Date :

For Office Use Only

Received by _____ Time/Date _____

Verified by _____ Date _____

Approved by _____ Date _____

Opening share
balance captured by _____ Date _____

Report checked
against CDS by _____ Date _____

Data Entry by _____ Date _____